INTRODUCTION:

The Urban Governance Cluster is part of the Research Programme Governance of Inclusive Development (GID) of the Amsterdam Institute for Social Science Research (AIISSR), University of Amsterdam. The cluster brings together an interdisciplinary team of researchers who study emerging governance arrangements of urbanizing spaces, socio-economic and spatial inequalities and exclusion, infrastructure and services, and spatial knowledge management systems with a special focus on explaining and reducing injustices and improving resilient development. The group focuses on urban areas in the global South, in particular in India, Brazil, Peru, the Caribbean, and South Africa. The team consists of Prof. Isa Baud, Prof. Kees Koonings, Dr. Michaela Hordijk, Dr. Rivke Jaffe, Dr. Karin Pfeffer, Christine Richter, MA and Dr. Hebe Verrest. Currently eight PhD students, from Europe, India, Peru, South Africa and the Caribbean, are attached to the group.

HISTORY: The Urban Governance cluster has been a mainstay of the Research Programme for a long time, shifting gradually from studies of urban livelihoods and poverty to research on governance and institutions and drivers shaping urban inequalities and vulnerabilities. As such the focus has shifted from an emphasis on livelihood strategies of poor households to a more relational approach highlighting interactions between households, entrepreneurs and institutions in the context of new hybrid governance arrangements.

RESEARCH APPROACH AND FOCUS AREAS: Rescaling of the state and neoliberal policies have led to increasing interaction between local governments and new sets of actors at various scale levels. The current focus in the research group is on such emerging hybrid arrangements that draw in new actors in urban governance. The group focuses on these new governance configurations in three thematic fields:

- Security and urban governance
- Urban governance, planning for climate change and renewable energy
- ICT-based spatial knowledge management for urban governance

_Rivke Jaffe_ has been recently awarded an ERC starting grant for the five-year project SECURCIT. This project provides an anthropological study of how citizenship is being reconfigured through hybrid forms of security governance. It researches these transformations by focusing on public-private ‘security assemblages’, with particular emphasis on the role of the private security industry. In cities across the world, citizens increasingly rely on an interconnected ‘assemblage’ of public and private security providers, in which the police collaborate with private security firms, neighborhood watch groups and even vigilantes. Jaffe approaches these public-private assemblages as neoliberal forms of governance and will be exploring how they influence the relationship between citizens and the state in Kingston, Nairobi and Jerusalem. Jaffe was earlier awarded an NWO Vidi Grant for research on the same topic in Kingston, Recife and Miami. She was also awarded an NWO Open Competition grant on *The Popular Culture of Illegality*. This project studies how the authority of criminal organizations is legitimated through popular culture, focusing on the role of these organizations as urban governmental actors in low-income neighborhoods in Latin American and Caribbean cities.

_Urban Governance, planning for climate change and renewable energy_
Planning for adaptation to climate change is a major challenge for many cities in the global South. Members of the cluster are involved in various projects; these include two EU-ACP projects led by the University of the West Indies (UWI), together with other Caribbean partners Hebe Verrest (project leader), Isa Baud and Ulrich Mans support the Caribbean Network for Urban and Land Management (CNULM) in a range of activities producing and disseminating knowledge on urban planning in the southern Caribbean for adaptation to climate change. Adaptation to climate change is also the theme of one of the work packages in the EU 7th Framework programme funded project Chance2Sustain, which analyses to what extent key actors in water governance in ten cities in the global South (in India, South Africa, Peru and Brazil) are able to address the challenges of climate change. Michaela Hordijk coordinates this work package together with Liliana Miranda Sara, who is also working on this topic for her PhD. Debra Roberts’s PhD work is related to this theme. Ulrich Mans analyses the economics of green cities, assessing the importance of renewable energy in emerging market cities.

**ICT-based spatial knowledge management for urban governance**

The scientific objective of the EU-funded 7th Framework research project Chance2Sustain is to develop a framework on how interactive spatial knowledge management can direct urban governance to increased resilience. The project concerns spatial knowledge construction and utilization in three policy domains; sub-standard re-settlement, megaprojects and water governance/climate change adaptation. The use of ICT-based spatial knowledge management by local governments is currently a driving force for urban planning and management with varying degrees of interactive engagement with citizens. The discourses, actor networks, interactive generation or contestation of citizen knowledge in local governance are major issues in the project. Fieldwork on spatial knowledge construction by varying coalitions within the ten cities covered indicates hybrid governance formations and discourses and practices of spatial knowledge construction, which prioritize codified and technical knowledge above community-based knowledge. Prof. dr. Isa Baud is scientific coordinator of the project; dr. Karin Pfeffer and dr. Michaela Hordijk are participating members. The PhD research by Tara Saharan on Livelihoods and informality in growing cities: Durban and Chennai is part of Chance2sustain. Chance2Sustain is carried out with a consortium of four Southern and four Northern partners, and builds on expertise developed in the IP_NWO-WOTRO funded research project Using spatial information infrastructure in urban governance networks: reducing urban deprivations in Indian cities? This focused on how urban governance networks can tackle urban inequalities and household deprivations in large Indian cities, by using local spatial information infrastructures (SII). This project was recently completed, and showed varying patterns of e-governance emerging in Indian cities. The PhD undertaken by Tara van Dijk on a relational approach to how poor households deal with access to resources, political mediation and local governance structures in the Mumbai agglomeration formed part of this project; as does the PhD by Christine Richter who recently joined the group.

The PhD research by Edith van Ewijk on Mutual learning on local governance in municipal partnerships between migrant sending and destination countries - Dutch-Moroccan and Dutch-Turkish cases is the third project under this sub-theme, focusing on mutual learning in city twinning.

In 2012 dr Viviana d’Auria started her NWO funded research Building the city from home: lived-in dwelling environments as a tool for decolonizing urban studies. Against dominant discourses in post-colonial theory describing cities of the global south as ruled by informality or invisibility, the research focuses on user-initiated adaptations in modern dwelling environments as tangible expressions of city-making. By relying on frameworks from the field of architecture and urbanism and the social sciences, the investigation compares the conception, implementation and appropriation of planned, modern neighbourhoods in the two metropolitan areas of Lima (Peru) and Accra-Tema (Ghana).

Hebe Verrest currently works on a project focusing on governance beyond the state where she examines the characteristics of urban governance processes in Port of Spain (Trinidad and Tobago) and their implications for spatial justice and urban inequalities.
(RECENT) RESEARCH GRANTS

A major grant of the cluster is Chance2Sustain, which is an EC-7th Framework-funded research project (€2.7 million). It examines how governments and citizens in cities with differing patterns of urban economic growth make use of participatory (or integrated) spatial knowledge management to direct urban governance towards more sustainable development. Period: 2010-2014. Several cluster members participate in this project (Baud, Hordijk, Pfeffer).

A second major grant which has recently been completed, is the project Using spatial information infrastructure in urban governance networks: reducing urban deprivations in Indian cities? in which the effects of introducing and expanding the use of digitized databases, and communication technologies in local governance have reshaped interactions between residents and local government. The project was an Integrated Programme funded by NWO-WOTRO (€630k). Isa Baud, Karin Pfeffer, Tara van Dijk and Christine Richter participated in this programme. This programme has resulted in two new projects being awarded – Chance2Sustain at the UvA was a spillover, and a NWO-WOTRO project (SEMA) by the other partner ITC Enschede.

Rivke Jaffe received an ERC grant for her research project ‘Transforming Citizenship through Hybrid Governance: The Impacts of Public-Private Security Assemblages (SECURCIT)’ (€1.5 million). She also received an NWO VIDI grant for her research project ‘The Politics of Security: The Impacts of Public-Private Security Assemblages on Governance and Citizenship’ (€800k). These two projects will investigate to what extent security assemblages function as hybrid governance structures, and the implications this has for how different groups enact and experience citizenship. Period: 2013-2018. A third grant for which Rivke Jaffe is the PI is the NWO Open Competition in the Humanities grant ‘The Popular Culture of Illegality: Criminal Authority and the Politics of Aesthetics in Latin America and the Caribbean’ (€763k). This project studies the role of popular culture in legitimating the socio-political authority of criminal organizations.

Finally, NSUS is the network for the application of science and technology for the urban sector (2009-2013). NSUS is a project concerned with the uptake of research into policy making in the Caribbean and reciprocally utilize policy decisions to inform and guide research in urban and land management through the advisory board and thematic technical advisory groups. The project aims at contributing to poverty alleviation in the urban areas of the Caribbean by placing socially relevant science, technology and innovation (STI) at the heart of applied research and policy making. The project is funded through EU-ACP (€1mln) and conducted in collaborations with the University of the West Indies, The University of Guyana and The Anton de Kom University of Suriname. It follows on earlier projects with the same partners.

TEACHING:

The group has developed and teaches in the Master International Development Studies, the Research Master in International Development Studies, the Research Master Urban Studies, the Bachelor programme Geography and Planning, and the Master Human Geography. It draws in outside scholars, policy makers and practitioners to provide perspectives on wide range of relevant fields of IDS research, labour market perspectives for graduates, and discusses the role and use of science by policymakers, civic society and practitioners. Dr. Michaela Hordijk is director of the Research Master, and Dr. Hebe Verrest has recently become Director of The Research Master Urban Studies. The group also contributes to other programmes at the UvA: the Master in Human Geography, the Research Master in Social Sciences, the Bachelor in Geography and Planning and the interdisciplinary track Future Planet Studies and provides guest lectures in a range of international universities and research institutes. The group has developed a research-based education programme in which students take part in ongoing GID research.
A special contribution by the group is made to teaching in GIS. Dr. Karin Pfeffer is an expert in the area of geographic information systems, and how they can be used strategically in urban research. She has developed basic and advanced courses at both BA and MSc level for students with an interdisciplinary background. She coordinates the GIS centre of the department and participates in the Methods Expertise Center of AISSR which provides methodological support to AISSR research and offers short courses for PhD students and AISSR researchers (c.f. http://aissr.uva.nl/research/methods-expertise-centre-mec/spirit.html)

The group was a partner in the EU-ACP funded EDULINK (2008-2011) programme entitled Capacity Building in Urban planning and Land Management in the Southern Caribbean. This project developed a joint post-graduate diploma for planning and land management of professionals of Suriname and Guyana (see above under grants).

PUBLICATIONS & INTERNATIONAL JOURNALS/BOOKS:

The researchers publish in a wide range of international journals and books.

They have editorial functions in the following publications:
- Development and Change
- Etnofoor
- European Journal of Development Research
- European Review of Latin American and Caribbean Studies

They contribute to a wide range of journals, which can be found in the publications list. Recent publications include an edited volume on Poverty and Local Governance (Nicky Pouw and Isa Baud), a special issue in Environment and Urbanization Asia (2011) and numerous articles and chapter contributions to books (see publications list). Many publications resulting from the research project Chance2sustain can be found on the project website www.chance2sustain.

EPISTEMIC COMMUNITIES, PARTNERSHIPS, AND STRATEGIC LINKS (OTHER COLLABORATIONS):

Team members are part of international epistemic communities and are actively involved in several organizations and networks:
Isa Baud is president of the European Association of Development Research and Training Institutes (EADI), an association of 150 IDS institutions in Europe, and member of the Steering Committee for the Knowledge Platform on Development Policy of the Netherlands Ministry of Foreign Affairs. She is scientific coordinator of the large EU-7th Framework Programme Chance2Sustain, in which several team members participate (Michaela Hordijk, Karin Pfeffer, Liliana Miranda Sara, Glenn Robbins). An international network of eight research institutes on urban development issues collaborate in the project; the institutes include CNRS/EHESS from France, NIBR from Norway, EADI from Germany, CEBRAP from Brazil, UKZN from South Africa, SPA from India, and the FORO from Peru.

A strong focus on the Caribbean is reflected in several projects focusing on urban planning and governance (Hebe Verrest), urban security arrangements (Rivke Jaffe), funded through the EU-ACP, and through NWO. The EU-ACP projects are carried out through a strong and growing network of partners in the Caribbean. These include academic institutions such as the University of the West Indies, University of Technology (Jamaica), University of Guyana and The Anton de Kom University of Suriname, but also policy makers and professional planners within the region through the Caribbean Network for Urban Land management (CNULM: www.bluespacecaribbean.com). Further collaboration involves Faculty of Geo-Information and Earth Observation/University of Twente (ITC) and the Virtual Knowledge Studio Maastricht (http://www.fdcw.org/maastrichtvks/).
While the main focus is on the global South, team members also participate in projects in the global North. Karin Pfeffer was closely involved in the design and implementation of the regional monitoring system of the Amsterdam region, which is a GIS-based web-application to monitor spatial concentrations of demographic, socio-economic and housing issues at city and region level.

Team members are members of or affiliated with:

- NAERUS - Network-Association of European Researchers on Urbanisation in the South, the Dutch Research School for Resource Studies for Development (CERES), the Centre for Urban Studies of the UvA, the Netherlands Association for Latin American and Caribbean Studies (NALACS), the Instituut bevordering voor de Surinamistiek (IBS), and Caribbean Studies Association (CSA).

Team members collaborate also closely with a variety of international academic and practitioner organisations, including EADI, CNRS/EHESS from France, NIBR from Norway, EADI from Germany, CEBRAP from Brazil, UKZN from South Africa, SPA from India, and the FORO from Peru, the University of the West Indies and the Caribbean Network for Urban and Land Management (CNULM).

OTHER NATIONAL CONTRIBUTIONS

Team members are active in Dutch civil society and knowledge forums, including contributions to the Ministry for Foreign Affairs/DGIS, through their participation in the Knowledge Platforms, and for the IOB of the Ministry, with the Dutch Association of Local Governments (VNG), for which a large-scale programme evaluation of Logo South was carried out with colleagues from Utrecht university, participation in allocation committees for NWO, and NWO-WOTRO.

PhD Research:

- P. Zuurbier, (UvA). Roots gaan waar je vandaan komt. 10 Decembe 2009. Promotor: Prof. Dr. I.S.A. Baud, Co-promotor: Prof Dr. G.A. de Bruijne
Prof. Isa Baud, Dr. Michaela Hordijk, Dr. Rivke Jaffe, Dr. Karin Pfeffer, Dr. Hebe Verrest, Christine Richter, MA and Dr. Viviana d’Auria.

**PhD participants**

- Alberts, Arjen
- Ulrich Mans
- Debra Roberts
- Tara Saharan
- Tara van Dijk
- Glenn Robbins
- Edith van Ewijk
- Liliana Miranda Sara

Tara van Dijk (American) (2008-2013)

Tara Saharan (2012-2015) Livelihoods and informality in growing cities: Durban and Chennai (Indian)

Ulrich Mans (2009-2013) Renewable energy producers in cities in the south; connectivity, networks, and

Liliana Miranda Sara (Cities for Life Forum, Peru) Water governance, risk and climate change in Lima Metropolitan

Edith van Ewijk
Mutual learning on local governance in municipal partnerships between migrant sending and destination countries - Dutch-Moroccan and Dutch-Turkish cases (2007-2013)

The socio-economic development model of Aruba and Sint Maarten

Glenn Robbins
Making space for local/urban economic development in cities of the south: The experience of the Durban metropolitan region